

## In the United States Patent and Trademark Office

In re application of:

Chen : Art Unit: AN 10/772,225 : Examiner:

Filed: 02/04/2004 : Atty's Docket: SC-03-02 For: Three Dimensional Tooth Orientation for Roller Cone Bits

(confirmation no. 2188)

## **INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents and Trademarks Alexandria, VA 22313

Sir:

The accompanying form PTO-1449 lists one or more documents which may be considered material to the examination of this application. A copy of each document is provided, if available.

Applicant reserves the right to establish the patentability of the claimed invention over any of the listed documents should they be applied thereagainst as references, and/or to prove that some of these documents may not be prior art, may not be within an analogous field of art, and/or may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, nor that more material information does not exist.

The Examiner is specifically requested to conduct an independent and thorough review of the documents, and to form his own opinions as to the significance of those documents to patentability of the claimed inventions, regardless of any of the foregoing statements concerning the significance of the references. The foregoing statements are made in good faith, and in compliance with the duty of disclosure; but they cannot substitute for the Examiner's specialized expertise, nor are they intended to derogate from the Examiner's official duty to assess patentability.

It is also respectfully noted that the submission of this material is **not** intended to displace the Examiner's professional ability and duty to search. Indeed, the Examiner is specifically requested not to rely on the materials submitted herewith, but to conduct a full and independent search.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, to indicate in the file of this patent application that the documents have been considered.

Respectfully submitted,

N. Elizabeth Pham, Reg.No. 49,042

**Customer Number 29106** 

Attorney for Applicant

NERham

11330 Valley Dale Drive, Dallas TX 75230

214-363-3038 groover@technopatents.com April 6, 2004

PTO/SB/08A (08-03)

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number .	10/772,225			
Filing Date	02/04/2004			
First Named Inventor	Chen			
Art Unit				
Examiner Name				
Attorney Docket Number	SC-03-02			

Examiner	Pages, Columns, Lines, Where				
Initials*	Cite No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
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	FORE	IGN PATENT DOCL	JMENTS		
	Cite Foreign Patent Document Pu	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>€</sup>
 01	SU 1691497 A1	11-15-1991	Kurumov et al.		1
02	SU 1654515 A1	07-06-1991	Togashov et al.		7
03	SU 1768745 A1	10-15-1992	Karpukhin et al		
 04	SU 1441051 A2	11-30-1998	Karpukhin et al		Г
05	EP 0384734 A1	08-29-1990	Amoco Corp.		
06	EP 0511547 A2	04-14-1992			Г

Examiner	Date	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. D NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known Substitute for form 1449/PTO Application Number 10/772,225 Filing Date 02/04/2004 INFORMATION DISCLOSURE First Named Inventor Chen STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** Sheet 2 Attorney Docket Number SC-03-02

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	No. <sup>1</sup>	Publication Date Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevan		
	<u> </u>	Number-Kind Code <sup>2 (# known)</sup>			Figures Appear		
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Cite No.1	Foreign Patent Document	gn Patent Document Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
07	EP 0511547 A3	04-14-1992	Crockett		
08	EP 0511547 B1	04-14-1992	Crockett		Γ
 09	GB 2241266 A	08-28-1991	Fischer		Г
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Examiner	D	Date	
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Translation is attached.

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